

**MULTI-NODE HFC DIVERSE ROUTE RECOVERY ALGORITHM
USING MULTI-STAGE, WIDE-MODE MARSHAL WITH
BRANCH FAILURE DETECTION**

TECHNICAL FIELD

This invention relates to the field of network communications and, more particularly, relates to synchronizing transmitters within a communications network, such as a cable telephony network, when one or more of the transmitters have fallen out of synchronization.

BACKGROUND

The wide-spread availability of hybrid fiber/coaxial ("HFC") networks, along with the broadband nature of the HFC networks, are allowing new and improved services to be brought into the home and/or office of consumers. Services that have traditionally been provided via other mediums, such as local telephone service and Internet access, are now available through HFC networks that are owned, operated, and/or utilized by cable operators.

The provision of local telephone service through HFC networks is rapidly expanding. Large multiple systems operators in the United States are achieving penetration rates of 10 to 20 percent of homes passed with more than 95 percent of acquired subscribers dropping their old carrier in favor of the cable operator. Today, more than 200,000 lines of telephone service are provided by means of HFC around the world, with more than 40,000 of these lines being in the United States.

Local telephone service provided through an HFC network has several advantages over the traditional analog loop provided via copper wiring. One such advantage is that unlike the analog loop, HFC provisioned telephone service is not as susceptible to cross-talk, electrical noise, inductance capacitance, lightning and power cross. In addition, the voice quality provided by use of an HFC network is improved over that of a copper analog loop by improving the effect of jitter, attenuation distortion and other key voice quality parameters.

Traditional telephone service over the PSTN and local-loops has achieved a high-level of reliability and availability. The provisioning of traditional services over an HFC network

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clear that there is a need in the art for a system and a method of timely recovering transmitters within a large network.

SUMMARY OF THE INVENTION

The present invention solves the above-described problems by providing a system and method for detecting synchronization failures of transmitters within a network, and quickly re-synchronizing the transmitters. Generally described, the present invention provides a method for synchronizing transmitters within a network by placing some or all of transmitters into a silent mode and enabling wide-mode marshaling. Once the transmitters are silenced, selected transmitters may be ranged or marshaled. After the selected transmitters have been marshaled, the transmitters are removed from the silent mode and normal operation is resumed. Advantageously, this solution allows recovery of transmitters even having very large propagation delays.

In one embodiment, the present invention operates within a synchronous transmission network, such as a time-division-multiple-access network. In this embodiment, the transmitters are ranged by first requesting a transmitter to transmit a ranging signal at a particular time. When the signal is received, the propagation delay associated with the signal traveling from the transmitter is determined. With this information, the timing is adjusted to synchronize transmissions.

More specifically, the present invention may be embodied within a synchronous network, such as a TDMA network, including a controller and multiple transmitters interconnected to the controller through a communications path. In one embodiment, the communications path may comprise a hybrid fiber/coaxial network with at least one portion of the network including redundant communication paths. Typically, only one of the communication paths will be active at a given time. The propagation delay associated with the various redundant communication paths will vary. Thus, if one of the communications paths is damaged and an alternate path is utilized, the differing propagation delays may result in losing synchronization within the system.

In operation within a TDMA network, the controller assigns each transmitter to a particular time-slot or channel. Alternatively, each transmitter may include a hard-coded time-slot assignment, or some other technique may be used to allocate time-slots to the transmitters. During operation, the controller detects when one of the transmitters is not transmitting in the assigned time-slot, or is out of synchronization. If this failure is due to a

redundant path switch or protection switch over, it is highly probable that several or all transmitters will be out of synchronization. When the number of transmitters that are out of synchronization exceed a threshold amount, the controller will enable wide-mode marshaling. During wide-mode marshaling, some or all of the transmitters are silenced to allow the controller to properly marshal each of the transmitters. During the marshaling process, the controller selects one of the transmitters that is out of synchronization and attempts to perform a range operation on this transmitter. If the range operation fails, the controller will disable wide-mode marshaling. However, if the range operation is successful, the controller will select another one of the transmitters that is out of synchronization and attempt to perform a range operation on this transmitter. This process will be repeated until all of the transmitters are synchronized.

In an exemplary embodiment of the present invention, the controller performs the ranging operation or the marshaling process by first requesting a transmitter to transmit a ranging signal at a particular time t_0 . The controller will receive the ranging signal at time t_1 . The difference between time t_1 and time t_0 represents the propagation delay between the transmitter and the controller. The controller will then send an adjustment command to the transmitter so that all future transmissions by the transmitter will arrive at the correct time.

One aspect of the present invention is the employment of wide-mode marshaling. During the ranging operation, the controller expects to receive the ranging signal from the transmitter within the header portion of a TDMA frame. However, under certain conditions, the propagation delay may exceed the amount of time allocated to the header portion of the TDMA frame or may even arrive prior to the start of the header portion of the TDMA frame. Wide-mode marshaling allows additional time, beyond the time allocated for the header, to receive the ranging signal. One technique to accomplish this is to disable all of the transmitters during wide-mode marshaling. Using this technique, the entire TDMA frame is available for marshaling. In another technique, the transmitters will be prohibited from transmitting within the channels of the TDMA frame that are adjacent to the header. This technique allows additional portions of the TDMA frame to be available for marshaling without totally disabling the operation of the HFC network. In yet another technique, certain TDMA frames may be used as dedicated marshaling frames during wide-mode marshaling. For instance, every other frame may be used as a marshaling frame and the transmitters will be restricted from transmitting within those frames. Thus, the use of wide-mode marshaling

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Fig. 1 is a system diagram of an exemplary environment suitable for various embodiments of the present invention.

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Fig. 3a is a timing diagram illustrating the operation of the system of Fig. 2 when the transmissions are synchronized.

Fig. 3b is a timing diagram illustrating the operation of a system of Fig. 2 under a failure mode.

Fig. 3c is a timing diagram illustrating the operation of the system of Fig. 2 under another failure mode.

Fig. 4 is a system diagram illustrating a complex application of an exemplary embodiment of the present invention.

Fig. 5 is a flow diagram illustrating the steps involved in an exemplary
10 implementation of the present invention.

Fig. 6 is a timing diagram illustrating an exemplary pinging operation suitable for an exemplary embodiment of the present invention.

Fig. 7 is a flow diagram illustrating the details of the Stage-1 procedure aspect of the present invention.

15 Fig. 8 is a flow diagram illustrating the details of the Stage-2 procedure aspect of the present invention.

DETAILED DESCRIPTION OF THE INVENTION

The present invention includes a system and a method for synchronizing transmitters within a communications network. Although the present invention will be described within the context of a cable telephony system over an HFC network, it will be appreciated by those skilled in the art, that the various aspects of the present invention may also be utilized within other contexts that require synchronized transmission of remotely located transmitter devices. In general, an exemplary embodiment of present invention may reside within a controller that interfaces with multiple transmitters within a communications network. In the exemplary embodiment, the communications network includes alternate transmission paths. The controller monitors the transmission characteristics of each of the transmitters to verify that they are capable of transmitting at an assigned time (i.e., are synchronized). If an error or a failure occurs within the network, multiple transmitters may fall out of synchronization. When this occurs, the controller will send out a silent command to each of the transmitters. Upon receiving the silent command, the transmitters will cease transmitting unless otherwise instructed by the controller. The controller may then perform a ranging operation on the transmitters that are not synchronized. Once the ranging operation is complete, the controller

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Turning now to the figures in which like numerals and labels refer to like elements throughout the several views, various embodiments and various aspects of the present invention will be described in more detail. Fig. 1 is a system diagram of an exemplary environment suitable for various embodiments of the present invention. The exemplary environment includes a Hybrid Fiber/Coaxial (“HFC”) network **100** with the components necessary to provide cable telephony service. A Host Digital Terminal (“HDT”) **110** operates as the central controller of the HFC network **100**. Among other tasks and capabilities, the HDT **110** interfaces the HFC network **100** to the Public Switched Telephone Network (“PSTN”) **120**. The interface between the HDT **110** and the PSTN **120** may be implemented in a variety of techniques with one such exemplary technique including a T1 connection to a DMS 100 switch such as those available from Nortel Networks, Inc. The HDT **110** includes one or more head end modem cards (“modem”) **125**. A modem **125** converts digital data received via the HFC network **100** to the format necessary to interface to the PSTN **120**, and converts data received via the PSTN **120** to the format necessary within the HFC network **100**. If the HFC network **100** provisions both cable television and telephony service, a Combiner/Splitter **130** may be utilized to combine the cable television signals received from a Cable TV Feed **140** and the telephony signals received from the PSTN **120** via the HDT **110**. Likewise, the Combiner/Splitter **130** is used to receive combined signals from the HFC network **100**, separate out the telephony signals and route them to the HDT **110**. Finally, telephony signals are delivered to multiple CPE devices **150**. A CPE device **150** provides the subscriber equipment interface to telephony equipment within a home or office. It should be understood by those skilled in the art that this exemplary environment is only provided to illustrate the operation of the present invention and that the present invention is not limited in any way to operate only within this exemplary embodiment. Those skilled in the art will also appreciate that the present invention is not limited to operation over an HFC network, but rather, that the present invention is applicable to a variety of network types. In fact, the present invention may be utilized in a variety of synchronous transmission systems performing a variety of communications services.

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Using this example, assume that the length of the first portion **212** of the primary fiber optic feed **210** is 20 kilometers and the length of the second portion **214** is 30 kilometers. In this example, data traveling from the third transducer **225** will travel 50 kilometers to arrive at the first transducer **205**, whereas data traveling from the second transducer **220** will travel 20 kilometers. Assuming the delay due to the main coaxial feed **200** is equivalent to 80 meters of the single mode fiber, the propagation delay from the second transducer **220** to the HDT **110** is 502 bit-times. The propagation delay from the third transducer **225** to the HDT **110** is 1252 bit times. In addition, each of the CPE devices are located a different distance from their respective transducers. Assuming that each CPE device is an increment of the equivalent of 40 meters of the single mode fiber away from the transducer, the propagation delay from each of the CPE devices in Fig. 3 to the HDT **110** is:

CPE	Bit-times
CPE-1A	503
CPE-1B	504
CPE-1C	505
CPE-2A	1253
CPE-2B	1254
CPE-2C	1255

To ensure that the data from each of the CPE devices arrives at the HDT 110 at the appropriate time, it is necessary to marshal or range each of the CPE devices. The ranging operation generally involves identifying the propagation delay associated with a CPE device and adjusting the transmission timing of the CPE device accordingly. Thus, for CPE-1A, the HDT 110 would provide a propagation delay message of 503 bit times. With CPE-1A being assigned to TDMA channel 5 305, CPE-1A would then transmit its data 503 bit times prior to the time that the data for TDMA channel 5 305 is due to arrive at the HDT 110.

Fig. 3b is a timing diagram illustrating the operation of a system of Fig. 2 under a failure mode. If the primary fiber optic feed **210** in Fig. 3 is severed at portion **214**, the data from the third transducer **225** must be routed over the secondary fiber feed **215**. If the propagation delay associated with the secondary fiber feed **215** is the same as that for the primary fiber optic feed **210**, then no problems will occur. However, the more likely scenario is that the length of the secondary fiber optic feed **215** will be significantly different.

Assuming that the secondary fiber optic feed **215** is 60 kilometers (10 kilometers longer than the main fiber optic feed **210**), the data from the third transducer **225** would be subject to an additional 250 bit times causing the failure mode of Fig. 3b to occur. In this failure mode, the data transmissions on the TDMA channels 2-7 (**302-307**) will be corrupted.

5 Fig. 3c is a timing diagram illustrating the operation of the system of Fig. 2 under another failure mode. Under this situation, the first portion **212** of the primary fiber optic feed **210** is severed. This results in changing the path between the second transducer **220** and the first transducer **205** to include the second portion **214** of the primary fiber optic feed **210** and the secondary fiber optic feed **215**. In this situation, the data from the second transducer
10 **220** will have to travel an additional 70 kilometers causing an additional 1750 bit-time propagation delay. The data from the third transducer **225** will be delayed the same amount as illustrated in Fig. 3b. In this failure mode, the data transmitted in TDMA channels 2-7 (**302-307**), 24 (**324**), as well as the header portion of the next TDMA frame will be corrupted.

In either of the failure conditions depicted in Figs. 3b and 3c, the present invention
15 may be used to re-synchronize the transmitters. Additional failure conditions may also be identified and the present invention may be used to re-synchronize the transmitters under these additional failure conditions as well. Fig. 4 is a system diagram illustrating a complex application of an exemplary embodiment of the present invention. In this embodiment, multiple fiber optic loops are shown (**410, 415, 420**) with taps **430** hanging off of the loops.
20 In an exemplary telephony cable system, a tap **430** will support eight CPE devices **450**. However, depending on the types of taps and the CPE devices utilized, other configurations could also exist and are anticipated by the present invention. Each modem **125** controls one or more of the CPE devices **450**. However, the assignment of CPE devices **450** and modems **125** can be quite varied. For instance, a single modem **125** may control selected CPE devices
25 **450** from each of the three illustrated fiber optic loops. Thus, the reader will conclude that many error situations may occur in such a highly complex network and that the propagation delays associated with the CPE devices controlled by a given modem **125** may vary greatly.

Fig. 5 is a flow diagram illustrating the steps involved in an exemplary
implementation of the present invention. The process illustrated in Fig. 5 operates to
30 synchronize the various CPE devices within a network. CPE devices **450** are maintained in a list in the modem **125** and checked in a continuous loop. At step **500**, an arbitrary CPE **450** is selected from the list as the starting point for the continuous loop. In addition, processing

variables such as the number of failed CPEs count ("Num_failed") and the variable identifying the first failed CPE ("First_failed") are cleared. At step 505, the first CPE is examined to determine if it is synchronized. This process involves the modem 125 pinging the CPE device. A ping is simply sending a signal to a device under test to prompt the device under test to respond with another signal. Thus, pinging a CPE device will allow the modem 125 to determine if the CPE device is operating properly. Fig. 6 is a timing diagram illustrating an exemplary pinging operation suitable for an exemplary embodiment of the present invention. The modem 125 sends a ping message to a particular CPE device. The ping message requests the receiving CPE device to transmit a response signal 615 at the beginning t_0 of the header portion of a subsequent, up-link TDMA frame (CPE to HDT) 610. The CPE device then transmits the requested signal 615. Point t_0 illustrates the time that the modem should receive the beginning of the header portion of a subsequent TDMA frame 610. For a perfectly synchronized CPE device, the transmitted pulse will be received by the modem 125 at time t_0 . If the CPE device is not synchronized, the transmitted signal 615 may arrive at time t_1 . If the CPE device is severely out of synchronization, the transmitted signal 615 may arrive at time t_2 . This latter scenario would, at a minimum, result in corrupting the data in the channel region of the TDMA frame. The transmitted signal may also arrive at time t_3 , which is prior to the time at which the signal is expected. To prevent erroneously concluding that this received signal is a severely late signal, frame numbers can be used to distinguish this category of response timing from the former. Alternatively, an adjustment to the CPE transmitter timing can be made and the direction of movement of the timing of the received signal can be observed.

If at step 505, it is determined that the first CPE is synchronized, processing continues at step 510 where the next CPE is selected. As long as all of the CPE's are synchronized, the loop consisting of steps 505 and 510 will be continuously repeated and the list of CPE's will be continuously cycled through. However, if a CPE is not synchronized, processing will continue at step 520. At step 520, the Num_failed variable is incremented to indicate that the process has detected that a CPE is out of synchronization. Processing then continues at step 525 where the First_failed variable is examined. If the First_failed variable has not been set (i.e., is clear), then the current CPE is identified as the first failed CPE at step 530 and processing continues at step 535. Marking the first failed CPE indicates the start of a

marshaling cycle. At step 525, if the First_failed variable has previously been set to a CPE, then processing continues at step 535.

At step 535, the Num_failed variable is compared to a first threshold value ("Threshold_1"). If the Num_failed variable exceeds the Threshold_1, the processing continues at step 545 where the Stage 1 procedure is invoked. Otherwise, processing continues at step 540. At step 540, if the CPE loop has not been completed (i.e., the current CPE being examined is not the same as the First_failed CPE, then processing continues at step 510 where the next CPE is selected. Otherwise, processing returns to step 500.

At step 545, the stage 1 procedure is invoked. The stage 1 procedure can end under one of two circumstances. Under the first circumstance, all of the CPE devices will be re-synchronized. Under the second circumstance, an attempt to re-synchronize one or more of the CPE devices fails. This second circumstance indicates a branch failure within the network has occurred and the marshaling process will not be able to recover the CPE devices attached to this branch. A branch failure is a situation in which one or more CPE devices are severed from the network and an alternate path is not available. Advantageously, this embodiment of the present invention allows for the recovery of CPE devices in a timely fashion. As soon as the Threshold_1 number of failed CPE devices is reached, the stage 1 procedure is immediately invoked.

After the completion of the stage 1 procedure at step 545, processing continues at step 550. At step 550 if the marshaling cycle is not complete (i.e., not all of the CPE devices have been examined), then the remaining CPE devices are examined to conclude the marshaling cycle. Upon completion of the marshaling cycle, processing continues at step 555. At step 555, if the stage 1 procedure did not result in a branch failure detection, processing returns to step 500 to repeat the entire process. However, if the stage 1 procedure results in a branch failure detection and the Num_failed variable is greater than a second threshold value ("Threshold_2"), the stage 2 procedure is invoked in step 560. After the completion of the stage 2 procedure, the value of the Num_failed variable is compared to a third threshold value at step 565. If the value of the Num_failed variable is greater Threshold_3, the stage 3 procedure is invoked at step 570. Otherwise, processing returns to step 500.

It is important to note that the Threshold_1 number of failed CPE devices must be reached in one marshaling cycle to invoke the stage 1 procedure. If a branch failure has been detected, the current marshal cycle will not be ended but instead will be completed and then

compared to the Threshold_2 and Threshold_3 values. Threshold_2 and/or Threshold_3 must be reached in this continued marshal cycle after a branch failure has been detected in order to invoke the stage 2 and stage 3 procedures.

In one embodiment, Threshold_1 may be set to a value of nine. In this embodiment,
5 each of the taps 430 show in Fig. 4 support eight CPE devices. Thus, selecting nine as a threshold operates to prevent invoking the Stage-1 procedure as the result of a single tap failure. Those skilled in the art will appreciate that the first integrity threshold may be set to any of a variety of levels and that the best method to select the appropriate level is to intrinsically evaluate the operation of a particular network and the present invention at
10 different levels.

Fig. 7 is a flow diagram illustrating the details of the Stage-1 recovery procedure. Processing for the Stage-1 recovery procedure begins at step 700 where wide-mode marshaling is enabled. Marshaling or ranging is a process where the modem 125 sends a ping to a CPE device, receives the response from the CPE device, and adjusts the transmission
15 delay of the CPE device, if necessary, based at least in part on the characteristics of the response. Typically, the response from the CPE devices will fall within the header region of the received TDMA frame. However, as illustrated in Fig. 6, under certain situations, the response from a CPE device may fall within the channel area of the TDMA frame. When the response from a CPE device does fall within the channel area of the TDMA frame, some of
20 the data within the TDMA frame is corrupted and the modem 125 cannot properly detect the response. To alleviate this problem, one aspect of the present invention is to utilize wide-mode marshaling. In general, wide-mode marshaling involves silencing the transmitters of some or all of the CPE devices to allow the modem 125 to detect the response from the CPE devices. In one embodiment of the present invention, all of the CPE devices that are
25 controlled by a single modem 125 may be disabled. Thus, in a typical network in which the modem 125 controls the operation of 120 CPE devices, the transmitters of all 120 of the CPE devices would be disabled. In another embodiment of the present invention, only a subset of the CPE devices may be disabled. In this embodiment, the modem disables the transmitters of the CPE devices that utilize the lower channels (i.e., on the channel 1 end of the channel
30 range). In yet another embodiment of the present invention, the modem 125 does not disable the transmitters of the CPE devices, but rather, limits the channels within the TDMA frame that may be used for transmitting. For instance, during wide-mode marshaling, the CPE

devices may be required to share only the upper channels of the TDMA frame (i.e., on the channel 24 end of the channel range). Regardless of the method selected, the wide-mode marshaling process allows the modem 125 to receive the responses from the CPE devices regardless of whether the responses fall within the header region or the channel region of the received TDMA frame. After enabling wide-mode marshaling, processing continues at step 710.

At step 710, one of the non-synchronized CPE devices is selected for marshaling. In one embodiment of the present invention, the modem 125 will select the first failed CPE device detected during the search loop. However, in other embodiments, the modem 125 may select the CPE devices in some other pre-determined or random order. Once the modem 125 selects a CPE device, processing continues at step 715.

At step 715, marshaling or the ranging operation is performed on the selected CPE device. In the marshaling process, the modem 125 requests the selected CPE device to transmit a pulse or a response signal. In response to receiving the transmitted pulse, the modem 125 can calculate the propagation delay between the CPE device and the modem 125. Referring again to Fig. 6, if the modem 125 receives the response from the CPE device at time t_1 , then the modem 125 will request the CPE device to modify its transmission delay by $t_1 - t_0$. Similarly, if the modem 125 receives the response from the CPE device at time t_2 , then the modem 125 will request the CPE device to modify its transmission delay by $t_2 - t_0$. The marshaling process is successful if the CPE accepts the new delay and a subsequent ping results in the modem 125 receiving the response from the CPE device at time t_0 . However, if the marshaling process fails, then the initial failure of the CPE device may be attributed to something other than a route switch. At step 720, if the marshaling process fails, processing continues at step 725. However, if the marshaling process is successful, processing continues at step 730.

At step 730, the marshaling process on the previously selected CPE device was successful. However, additional CPE devices may also require marshaling. If additional CPE devices remain to be marshaled, processing continues at step 735. Otherwise processing continues at step 740. At step 735, the modem selects the next CPE device and processing returns to step 715 with the newly selected CPE device.

At step 725, the process determines if the failed CPE is due to a branch failure. If a branch failure is detected (i.e., marshaling fails and the marshaling cycle has been

completed), then processing continues with step 727 where a branch failure flag is set and step 740 where wide mode marshaling may be disabled. Ultimately, the process will return to step 550 prior to determining if the stage 2 procedure should be invoked at step 555. In one embodiment, the second integrity threshold is set to 75% of the controlled CPE devices.

5 Thus, in a system where a single modem 125 controls 120 CPE devices, the second integrity threshold for this embodiment would be 90. However, those skilled in the art will appreciate that the second integrity threshold could be any of a variety of levels and that the best method to select the second integrity threshold level is to intrinsically evaluate the performance of the network at various threshold levels.

10 At step 740, the modem 125 disables wide-mode marshaling. The Stage-1 procedure is then exited at point 790.

Fig. 8 is a flow diagram illustrating the details of the Stage-2 recovery procedure 560. The stage-2 procedure begins at step 800 after an attempt to marshal a first failed CPE devices has failed. At step 800, the modem 125 selects a different failed CPE device to marshal. In general, the Stage-2 procedure is invoked when there is a large number of failed CPE devices. The Stage-2 procedure is invoked when the Stage-1 procedure results in the detection of a branch failure; however, the number of non-synchronized CPE devices indicate that additional problems in the network may exist. Once the next CPE device is selected, processing continues at step 810.

20 At step 810, the marshaling process is attempted on the next failed CPE device and then processing continues at step 820. At step 820, if the marshaling process succeeds, processing returns to step 800. Otherwise, at step 830 wide-mode marshaling is disabled and the Stage-2 procedure is exited at point 890. Processing then returns to step 500 of Fig. 5.

25 The Stage-3 procedure 550 is invoked when the number of failed CPE devices (Num_Failed) exceeds the third integrity threshold (Threshold_3). In one embodiment, the third integrity threshold is set to 100% of the CPE devices. Thus, in an embodiment in which the modem 125 controls 120 CPE devices, the third integrity threshold is set to 120.

30 However, those skilled in the art will appreciate that the third integrity threshold could be any of a variety of levels and that the best method to select the third integrity threshold level is to intrinsically evaluate the performance of the network at various levels. In the Stage-3 procedure 550, wide-mode marshaling is enabled and the marshaling process is attempted on each of the CPE devices. Once the Stage-3 procedure 570 is completed, the modem 125

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